

# REDEMPTION REQUEST FORM

To,  
o3 Securities Private Limited  
No.5 Crescent Road Bangalore-560 001

Date:

PMS Client Code: \_\_\_\_\_ Client Name: \_\_\_\_\_

**Tick the Investment Approach:**  Core Value Concentrated  Core Value Regular  Multi-Asset Allocation  
 Special Situations Portfolio  Thematic Opportunities Portfolio

Dear Sir / Madam,

**PARTIAL REDEMPTION:** I/We wish to partially redeem Rs. \_\_\_\_\_ from the aforesaid portfolio. Kindly credit the proceeds to my/our account registered with you.

**FULL REDEMPTION (Liquidation of Portfolio):** I/We request you to liquidate the aforesaid portfolio and transfer the proceeds to my/our Registered Bank account with you.

The details of my / our new bank account are as below:

Bank Name  Branch   
A/c no  IFSC Code

Type of A/c.: Saving  Current  Others (Please Specify): \_\_\_\_\_

**PARTIAL (Transfer of Securities):** I/We request you to transfer the following securities from my/our existing depository account registered with you towards partial redemption of aforesaid portfolio to my/our below mentioned depository account.

Sr. No.	ISIN	Scrip Name	Quantity

(Use separate annexure if space provided is not sufficient)

**FULL (Transfer of Securities):** I/We request you to transfer the securities from my/our existing depository account registered with you towards full redemption of aforesaid portfolio to my/our below mentioned depository account:

The details of my / our depository account where the stocks to be transferred are as below:

Depository Name:  Type of Depository: NSDL  CDSL   
DP ID:  Client ID:

I/ We have read and understood the instructions & Checklist attached along with this form and confirm details filled are true and authentic.

I/ We understand that the above request would be executed on best effort basis and at the prevailing market price.

Signature			
Name	First Applicant	Second Applicant	Third Applicant

**Note: Partial redemption will be processed subject to portfolio value meets the minimum investment criteria of Rs.50 lakhs post redemption as per SEBI regulation.**

**DOCUMENTATION CHECKLIST:**

<b>Type of Redemptions</b>	<b>Requirements</b>
For all types of Redemptions	<ol style="list-style-type: none"><li>1. Filled Request form</li><li>2. Amount to be filled clearly.</li></ol>
Full Redemption via Stock Transfer	<ol style="list-style-type: none"><li>1. Client demat details to be filled, where stocks to be transferred.</li><li>2. Clear Self attested CML copy/Demat Statement of counter depository, where stocks to be transferred.</li><li>3. First holder should be a holder in the Target Demat.</li><li>4. Duly signed Demat Closure Form (For Full redemptions)</li></ol>
Redemptions (Along with Change of Bank Mandate)	<ol style="list-style-type: none"><li>1. New bank details to be filled in form.</li><li>2. Original Personalised Cancelled Cheque OR</li><li>3. Banker Attested Statement copy.</li></ol>